Uncertainty estimates in regional and global observed temperature changes: a new dataset from 1850

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Abstract

The historical surface temperature dataset HadCRUT provides a record of surface temperature trends and variability since 1850. A new version of this dataset, HadCRUT3, has been produced; benefiting from recent improvements to the sea-surface temperature dataset which forms its marine component, and from improvements to the station records which provide the land data. A comprehensive set of uncertainty estimates has been derived to accompany the data: estimates of measurement and sampling error, temperature bias effects, and the effect of limited observational coverage on large-scale averages have all been made. Since the mid- 20^{th} century the uncertainties in global and hemispheric mean temperatures are small and the temperature increase greatly exceeds its uncertainty. In earlier periods the uncertainties are larger, but the temperature increase over the 20^{th} century is still significantly larger than its uncertainty.

1 Introduction

The historical surface temperature dataset HadCRUT [Jones, 1994, Jones & Moberg, 2003] has been extensively used as a source of information on surface temperature trends and variability [Houghton et al., 2001]. Since the last update, which produced HadCRUT2 [Jones & Moberg, 2003], important improvements have been made in the marine component of the dataset [Rayner et al., 2006]. These include the use of additional observations, the development of comprehensive uncertainty estimates, and technical improvements that enable, for instance, the production of gridded fields at arbitrary resolution.

This paper describes work to produce a new dataset version, HadCRUT3, which will extend the advances made to the marine data to the global dataset. These new developments include improvements to: the land station data, the process for blending land data with marine data to give global coverage, and the statistical process of adjusting the variance of the gridded values to allow for varying numbers of contributing observations. Results and uncertainties for the new blended, global dataset, called HadCRUT3, are presented.

2 Land-surface data

2.1 Station data

The land-surface component of HadCRUT is derived from a collection of homogenised, quality-controlled, monthly-average temperatures for 4349 stations. This collection has been expanded and improved for use in the new dataset.

2.1.1 Additional stations and data

New stations and data were added for Mali, the Democratic Republic of Congo, Switzerland [Begert et al., 2005] and Austria. Data for 16 Austrian stations were completely replaced with revised values. A total of 29 Mali series were affected: 5 had partial new data, 8 had completely new data, and 16 were new stations. Five Swiss stations were updated for the period 1864–2001 [Begert et al., 2005]. 33 Congolese stations were affected: 13 were new stations, and 20 were updates to existing stations.

As well as the new stations discussed above, additional monthly data have been obtained for stations in Antarctica [Turner et al., 2005], while additional data for many stations have been added from the National Climatic Data Centre publication Monthly Climatic Data for the World.

2.1.2 Quality control

Much additional quality control has also been undertaken. А comparison [Simmons et al., 2004] of the Climatic Research Unit (CRU) land temperature data with the ERA-40 reanalysis found a few areas where the station data were doubtful, and this was augmented by visual examination of individual station records looking for outliers. Some bad values were identified and either corrected or removed. Only a small fraction of the data needed correction, however; of the more than 3.7 million monthly station values, the ERA-40 comparison found about 10 doubtful grid boxes and the visual inspection about 270 monthly outliers.

Checking the station data for identical sequences in all possible station pairs turned up 53 stations which were duplicates of others. These duplicates have arisen where the same station data are assimilated into the archive from two different sources, and the two sources give the same station but with different names and WMO identifiers. The duplicate stations were merged and duplicate temperature data were deleted.

Also the station normals and standard deviations were improved. The station normals (monthly averages over the normal period 1961–90) are generated from station data for this period where possible. Where there are insufficient station data to achieve this for the period, normals were derived from WMO values [WMO, 1996] or inferred from surrounding station values [Jones et al., 1985]. For 617 stations, it was possible to replace the additional WMO normals (used in [Jones & Moberg, 2003]) with normals derived from the station data. This was made possible by relaxing the requirement to have data for four years in each of the three decades in 1961– 90 (the requirement now is simply to have at least 15 years of data in this period), so reducing the number of stations using the seemingly less reliable WMO normals. As well as making the normals less uncertain (see the discussion of normal error below), these improved normals mean that the gridded fields of temperature anomalies are much closer to zero over the normal period than was the case for previous versions of the dataset.

Figure 1 shows the locations of the stations used, and indicates those where changes have been made.

2.2 Gridding

To interpolate the station data to a regular grid the methods of [Jones & Moberg, 2003] are followed. Each grid-box value is the mean of all available station anomaly values, except that station outliers in excess of five standard deviations are omitted.

Two changes have been made in the gridding process. The station anomalies can



Figure 1: Land station coverage. Small black circles mark all stations, green circles mark deleted stations, blue circles mark stations added, and red circles mark stations edited. Many station edits are minor changes: involving, for instance, the correction of a single outlier.

now be gridded to any spatial resolution, instead of being limited to a $5^{\circ} \times 5^{\circ}$ resolution; this simplifies comparison of the gridded data with General Circulation Model (GCM) results. Also previous versions of the dataset did some infilling of missing gridbox values using data from surrounding grid boxes [Jones et al., 2001]. This is no longer done, allowing the attribution of an uncertainty to each grid-box value. The resulting gridded land-only dataset has been given the name CRUTEM3. The previous version of this dataset, CRUTEM2, started in 1851: in CRUTEM3 the start date has been extended back to 1850 to match the marine data (section 3).

Figure 2 shows a gridded field for an example month, at the standard $5^{\circ} \times 5^{\circ}$ degree resolution. For comparison with GCM results, or for regional studies of areas where observations are plentiful, it can be useful to perform the gridding at higher resolution. Figure 3 shows a gridded field for the same example month, at the resolution of the HadGEM1 model [Johns et al., 2004], but only for North America.

2.3 Uncertainties

To use the data for quantitative, statistical analysis, for instance a detailed comparison with GCM results, the uncertainties of the gridded anomalies are a useful additional field. A definitive assessment of uncertainties is impossible, because it is always possible that some unknown error has contaminated the data, and no quantitative allowance can be made for such unknowns. There are, however, several known limitations in the data, and estimates of the likely effects of these limitations can be made [Rumsfeld, 2004]. This means that uncertainty estimates need to be accompanied by an error model: a precise description of what uncertainties are being estimated.

Uncertainties in the land data can be divided into three groups:

- Station Error the uncertainty of individual station anomalies,
- **Sampling Error** the uncertainty in a gridbox mean caused by estimating the mean from a small number of point values,
- **Bias Error** the uncertainty in large-scale temperatures caused by systematic changes in measurement methods.

2.3.1 Station Errors

The uncertainties in the reported station monthly mean temperatures can be further sub divided. Suppose

$$T_{actual} = T_{ob} + \epsilon_{ob} + C_H + \epsilon_H + \epsilon_{RC} \qquad (1)$$

where T_{actual} is the actual station mean monthly temperature, T_{ob} is the reported temperature, ϵ_{ob} is the measurement error, C_H is any homogenisation adjustment that may have been applied to the reported temperature and ϵ_H is the uncertainty in that adjustment, and ϵ_{RC} is the uncertainty due to inaccurate calculation or miss-reporting of the station mean temperature.

The values being gridded are anomalies, calculated by subtracting the station normal from the observed temperature, so errors in the station normals must also be considered.

$$A_{actual} = T_{ob} - T_N + \epsilon_N + \epsilon_{ob} + C_H + \epsilon_H + \epsilon_{RC}$$
(2)

where A_{actual} is the actual temperature anomaly, T_N is the estimated station normal, and ϵ_N is the error in T_N .

The basic station data include normals and may have had homogenisation adjustments applied, so they provide $T_{ob} + C_H$ and T_N ; also needed are estimates for ϵ_{ob} , ϵ_H , ϵ_N , and ϵ_{RC} .



Figure 2: CRUTEM3 anomalies (°C) for January 1969 (global, $5^\circ\times5^\circ$)



Figure 3: CRUTEM3 anomalies (°C) for January 1969 (North America, HadGEM1 model grid $(1.875^\circ \times 1.25^\circ))$

Measurement error (ϵ_{ob}) The random error in a single thermometer reading is about $0.2^{\circ}C$ (1σ) [Folland et al., 2001]; the monthly average will be based on at least two readings a day throughout the month, giving 60 or more values contributing to the mean. So the error in the monthly average will be at most $0.2/\sqrt{60} = 0.03^{\circ}C$ and this will be uncorrelated with the value for any other station or the value for any other month.

There will be a difference between the true mean monthly temperature (i.e. from 1 minute averages) and the average calculated by each station from measurements made less often; but this difference will also be present in the station normal and will cancel in the anomaly. So this doesn't contribute to the measurement error. If a station changes the way mean monthly temperature is calculated it will produce an inhomogeneity in the station temperature series, and uncertainties due to such changes will form part of the homogenisation adjustment error.

Homogenisation adjustment error (ϵ_H) Inhomogeneities are introduced into the station temperature series by such things as changes in the station site, changes in measurement time, or changes in instrumentation. The station data that are used to make Had-CRUT have been adjusted to remove these inhomogeneities, but such adjustments are not exact — there are uncertainties associated with them.

For some stations both the adjusted and unadjusted time-series are archived at CRU and so the adjustments that have been made are known [Jones et al., 1985, Jones et al., 1986, Vincent & Gullet, 1999], but for most stations only a single series is archived, so any adjustments that might have been made (e.g. by National Met. services or individual scientists) are unknown. Making a histogram of the adjustments applied (where these are known) gives the solid line in figure 4. Inhomogeneities will come in all sizes, but large inhomogeneities are more likely to be found and adjusted than small ones. So the distribution of adjustments is bimodal, and can be interpreted as a bell-shaped distribution with most of the central, small, values missing.

Hypothesising that the distribution of adjustments required is Gaussian, with a standard deviation of $0.75^{\circ}C$ gives the dashed line in figure 4 which matches the number of adjustments made where the adjustments are large, but suggests a large number of missing small adjustments. The homogenisation uncertainty is then given by this missing component (dotted line in figure 4), which has a standard deviation of $0.4^{\circ}C$. This uncertainty applies to both adjusted and unadjusted data, the former have an uncertainty on the adjustments made, the latter may require undetected adjustments.

The distribution of known adjustments is not symmetric — adjustments are more likely to be negative than positive. The most common reason for a station needing adjustment is a site move in the 1940-60 period. The earlier site tends to have been warmer than the later one — as the move is often to an out of town airport. So the adjustments are mainly negative, because the earlier record (in the town/city) needs to be reduced [Jones et al., 1985, Jones et al., 1986]. Although a real effect, this asymmetry is small compared with the typical adjustment, and is difficult to quantify; so the homogenisation adjustment uncertainties are treated as being symmetric about zero.

The homogenisation adjustment applied to a station is usually constant over long periods: the mean time over which an adjustment is applied is nearly 40 years [Jones et al., 1985, Jones et al., 1986, Vincent & Gullet, 1999]. The error in each adjustment will therefore be



Figure 4: Distribution of station homogeneity adjustments (°C). The solid line is the distribution of the adjustments known to have been made (763 adjustments, from [Jones et al., 1985, Jones et al., 1986, Vincent & Gullet, 1999]), the dashed line is a hypothesised distribution of the adjustments required, and the dotted line is the difference - and so the distribution of homogeneity adjustment error.

constant over the same period. This means that the adjustment uncertainty is highly correlated in time: the adjustment uncertainty on a station value will be the same for a decadal average as for an individual monthly value.

So the homogenisation adjustment uncertainty for any station is a random value taken from a normal distribution with a standard deviation of $0.4^{\circ}C$. Each station uncertainty is constant in time, but uncertainties for different stations are not correlated with one another (correlated inhomogeneities are treated as biases, see below). As an inhomogeneity is a change from the conditions over the climatology period (1961–90), station anomalies will have no inhomogeneities during that period unless there is a change sometime during those 30 years. Consequently these adjustment uncertainty estimates are pessimistic for that period.

Figure 4 also demonstrates the value of making homogenisation adjustments. The dashed line is an estimate of the uncertainties in the unadjusted data, and the dotted line an estimate of the uncertainties remaining after adjustment. The adjustments made have reduced the uncertainties considerably.

Normal error (ϵ_N) For most stations, the station normal is calculated from the monthly temperatures for that station over the normal period (1961–90). So the uncertainty in the normal consists of measurement and sampling error for that data. The measurement error will be a small fraction of the monthly measurement error and can be neglected, so only the sampling error is important.

The station temperature in each month during the normal period can be considered as the sum of two components: a constant station normal value (C) and a random weather value (w, with standard deviation σ_i). If data for a station are available for N of the 30 possible months during the period from which the normals are taken, and the ws are uncorrelated; then for stations where C is estimated as the mean of the available monthly data, the uncertainty on C is σ_i/\sqrt{N} . Testing this model by selecting stations where complete data are available for the climatology period and looking at the effect on the normals of using only a subset of the data confirmed that the autocorrelation is small and the model is appropriate.

The station normals used fall into three groups [Jones & Moberg, 2003]. The first group are those where data are available for all months in 1961–90; these normals are given an uncertainty of $\sigma_i/\sqrt{30}$. The second group are those where data are available for at least 15 years in 1961–90 (enough data to estimate a normal); these normals are given an uncertainty of σ_i/\sqrt{N} where N is the number of years for which there is data. The third group are those where too few data are available in 1961–90 to estimate a normal. For some of these stations WMO normals have been used [WMO, 1996] and experience has shown that these normals are likely to have problems [Jones & Moberg, 2003]. The process of data improvement discussed in section 2.1.2 also allowed the generation of new normals for 617 such stations. Comparison of the old and new normals for these stations suggested that the uncertainty in the WMO normals was about $0.3\sigma_i$.

Calculation and reporting error (ϵ_{RC}) The station data used in this analysis may have been extensively processed before being added to the CRU archive. The monthly mean temperature values will have been calculated by averaging 60 or more sub-daily measurements. Where this calculation is done manually it can introduce an error. The transmission of the station data to the CRU archive requires at least one cycle of encoding, transcribing and decoding the data, and this process may also introduce an error.

Where such errors are persistent they will introduce an inhomogeneity into the data for a station, and so are included in the homogenisation adjustment error ϵ_H . So the calculation and reporting error (ϵ_{RC}) comprises only the random and uncorrelated cases.

Calculation and reporting errors can be large (changing the sign of a number and scaling it by a factor of 10 are both typical transcription errors; as are reporting errors of 10°C (e.g. putting 29.1 for 19.1)) but almost all such errors will be found during quality control of the data. Those errors that remain after quality control will be small, and because they are also uncorrelated both in time and in space their effect on any large scale average will be negligible. For these reasons ϵ_{RC} is not considered further.

Combining station error components For each station, the observational, homogeneity adjustment, and normal uncertainties are independent; so estimates of each can be combined in quadrature to give an estimate of the total uncertainty for each station.

The grid-box anomaly is the mean of the n station anomalies in that grid box, so the gridbox station uncertainty is the root mean square of the station errors, multiplied by $1/\sqrt{n}$. The spatial patterns visible in the station error field (figure 5) are dominated by the distribution of the mean station standard deviation. This is larger in the high-latitudes and in the winter, and smaller in the tropics and in the summer; so for the month shown (January) the station error is largest for the northern high latitudes. A secondary effect is a reduction in areas with a large number of observations. In North America, Europe, and south-eastern Australia, observations are plentiful and so the station error is reduced.

2.3.2 Sampling error

Even if the station temperature anomalies had no error, the mean of the station anomalies in a grid box would not necessarily be equal to the true spatial average temperature anomaly for that grid box. This difference is the sampling error; and it will depend on the number of stations in the grid box, on the positions of those stations, and on the actual variability of the climate in the grid box. A method for calculating sampling error is described in [Jones et al., 1997], who recommend the equation

$$SE^{2} = \frac{\sigma_{i}^{2}\overline{r}(1-\overline{r})}{1+(n-1)\overline{r}}.$$
(3)

Where $\overline{\sigma_i^2}$ is the mean station standard deviation, n is the number of stations, and \overline{r} is the average inter-site correlation (itself estimated from the data according to the methods of [Jones et al., 1997]). The method of [Jones et al., 1997] has been used in this analysis.

The spatial distribution of sampling error (see figure 6), like the station error, is dominated by the station standard deviations and the number of observations. The distribution is very similar to that for the station error.

2.3.3 Bias error

Bias correction uncertainties are estimated following [Folland et al., 2001] who considered two biases in the land data: urbanisation effects [Jones et al., 1990] and thermometer exposure changes [Parker, 1994].

Urbanisation effects The previous analysis of urbanisation effects in the HadCRUT dataset [Folland et al., 2001] recommended a 1σ uncertainty which increased from 0 in 1900



Figure 5: CRUTEM3 station errors (°C) for January 1969



Figure 6: CRUTEM3 sampling errors (°C) for January 1969

to $0.05^{\circ}C$ in 1990 (linearly extrapolated after 1990) [Jones et al., 1990]. Since then, research has been published suggesting both that the urbanisation effect is too small to detect [Parker, 2004, Peterson, 2004], and that the effect is as large as $\approx 0.3^{\circ}C$ /century [Kalnay & Cai, 2003, Zhou et al., 2004].

The studies finding a large urbanisation effect [Kalnay & Cai, 2003, Zhou et al., 2004] are based on comparison of observations with reanalyses, and assume that any difference is entirely due to biases in the observations. A comparison of HadCRUT data with the ERA-40 reanalysis [Simmons et al., 2004] demonstrated that there were sizable biases in the reanalysis, so this assumption cannot be made, and the most reliable way to investigate possible urbanisation biases is to compare rural and urban station series.

A recent study of rural/urban station [Peterson & Owen, 2005] comparisons supported the previously used recommendation [Jones et al., 1990], and also demonstrated that assessments of urbanisation were very dependent on the choice of meta-data used to make the rural/urban classification. To make an urbanisation assessment for all the stations used in the HadCRUT dataset would require suitable meta-data for each station for the whole period since 1850. No such complete meta-data are available, so in this analysis the same value for urbanisation uncertainty is used as in the previous analysis [Folland et al., 2001]; that is, a 1σ value of $0.0055^{\circ}C/\text{decade}$, starting in 1900. Recent research suggests that this value is reasonable, or possibly a little conservative [Parker, 2004, Peterson, 2004, Peterson & Owen, 2005]. The same value is used over the whole land surface, and it is one-sided: recent temperatures may be too high due to urbanisation, but they will not be too low.

Thermometer exposure changes Over the period since 1850 there have been changes in the design and siting of thermometer enclosures; many early shelters can differ substantially from the modern Stevenson-type screen. It is sometimes possible to determine the time of change by the homogeneity assessments discussed in section 2.3.1, but this is only possible if changes at neighbouring stations are implemented at different times. The bias errors in this section, therefore, allow for the possible simultaneous replacement across entire countries with Stevenson-type shelters. The possible effect of such changes was investigated in [Parker, 1994], who concluded that there was a possible difference between 1900 and the present day of about $0.2^{\circ}C$ because of such exposure changes. This was later expanded into an error model in [Folland et al., 2001]: in the tropics (20S–20N) the 1σ uncertainty range is $0.2^{\circ}C$ before 1930, and then decreases linearly to zero in 1950. Outside the tropics the 1σ uncertainty range is $0.1^{\circ}C$ before 1900 and then decreases linearly to zero by 1930. This uncertainty model is used here.

It is likely that further changes in thermometer exposure have been taking place in recent years, as Stevenson-type screens are replaced with aspirated shelters. These changes are, however, too recent to allow a quantitative assessment of their effects and they are not included in the CRUTEM3 error analysis.

2.3.4 Combining the uncertainties

The total uncertainty value for any grid box can be obtained by adding the station error, sampling error, and bias error estimates for that grid box in quadrature. This gives the total uncertainty for each grid box for each month.

In practise, however, this combined uncertainty is less useful than the individual components. Most uses of the data set require not just an individual monthly grid-box value but some spatial or temporal average of many of them. When combining uncertainties onto these larger scales it is necessary to allow for correlations between the grid-box uncertainties, and the three error components have different spatial and temporal correlation structures.

The sampling errors have little spatial or temporal correlation. The station errors have little spatial correlation, but because the two main components (homogeneity adjustment and normal uncertainties) stay the same for each station for many consecutive months they have almost complete temporal autocorrelation. The bias errors are the same for each grid box and each month, they have complete temporal and spatial correlations.

The errors shown in figures 5 and 6 are for $5^{\circ} \times 5^{\circ}$ grid boxes. Changing the gridding resolution will change the uncertainties. Larger grid-boxes will have a larger sampling error if they contain the same number of observations, but typically increasing the grid-box size will mean that each contains more stations and the box-average uncertainties will be reduced. Similarly, reducing the grid-box size would reduce the sampling error, except that smaller grid boxes will often contain fewer stations, which will increase the errors.

The combined effect of grid-box sampling errors will be small for any continental-scale or hemispheric-scale average (though the lack of global coverage introduces an additional source of sampling error, this is discussed in section 6.1). Combined station errors will be small for large-scale spatial averages, but remain important for averages over long periods of the same small grid box. Bias errors are equally large on any space or time scale.

3 Marine data

The marine data used are from the seasurface temperature dataset HadSST2 [Rayner et al., 2006]. This is a gridded dataset made from in-situ ship and buoy observations from the new International Comprehensive Ocean-Atmosphere data set [Diaz et al., 2002, Manabe, 2003, Woodruff et al., 2003]. This dataset provides the same information for the oceans as described above for the land. For each grid box: mean temperature anomalies, measurement and sampling error estimates, and bias error estimates are available. The datasets can be produced on a grid of any desired resolution.

Previous versions of HadCRUT use the SST dataset MOHSST6 [Parker et al., 1995]. The new HadSST2 dataset is an improvement on MOHSST6 for many reasons: it is based on an enlarged and improved set of ship and buoy observations, it includes a new climatology, and the bias corrections needed for data before 1941 have been revisited. Also HadSST2 starts in January 1850 (as does HadCRUT3), MOHSST6 and HadCRUT2 started in January 1856. Full details of all the improvements can be found in [Rayner et al., 2006].

Blending a sea-surface temperature (SST) dataset with land air temperature makes an implicit assumption that SST anomalies are a good surrogate for marine air temperature anomalies. It has been shown, for example by [Parker et al., 1994], that this is the case, and that marine SST measurements provide more useful data and smaller sampling errors than marine air temperature measurements would. So blending SST anomalies with land air temperature anomalies is a sensible choice.

3.1 Uncertainties in the marine data

Like the land data, the marine dataset has known errors: estimates have been made of the measurement and sampling error, and the uncertainty in the bias corrections. The marine data are point measurements from moving ships, moored buoys, and drifting buoys, so the anomalies for any one grid box come in general from a different set of sources each month. This means that marine data have no equivalent of station errors or homogenisation adjustments. The marine equivalent of the station errors form part of the measurement and sampling error, and adjustments for inhomogeneities are done by large scale bias corrections.

The measurement and sampling error estimates are based, like the land sampling error (section 2.3.2), on the number of observations in a grid box, on the variability of a single observation, and on the correlation between observations. The latter two parameters are estimated from the gridded data for each grid box. Details are given in [Rayner et al., 2006].

Only one bias correction is applied: over the period 1850–1940, the predominant SST measurement process changed from taking samples in wooden buckets, to taking samples in canvas buckets, to using engine room cooling water inlet temperatures [Folland and Parker, 1995]. A bias correction is applied to remove the effect of these changes on the SSTs. This correction depends on estimates of the mix of measuring methods in use at any one time, and of parameters such as the speed of the ships making the measurements. An uncertainty has been estimated for the correction; again, details are in [Rayner et al., 2006].

As with the land data, the uncertainty estimates cannot be definitive: where there are known sources of uncertainty, estimates of the size of those uncertainties have been made. There may be additional sources of uncertainty as yet unquantified (see section 6.3).

4 Blending land and marine data

To make a dataset with global coverage the land and marine data must be combined. For land-only grid boxes the land value is taken, and for sea-only grid boxes the marine value; but for coastal and island grid boxes the land and marine data must be blended into a combined average.

Previous of HadCRUT versions [Jones, 1994, Jones & Moberg, 2003] blended land and sea data in coastal and island grid boxes by weighting the land and sea values by the area fraction of land and sea respectively, with a constraint that the land fraction cannot be greater than 75% or less than 25%, to prevent either data-source being swamped by the other. The aim of weighting by area was to place more weight on the more reliable data source where possible. The constraints are necessary because there are some grid boxes which are almost all sea but contain one reliable land station on a small island; and some grid boxes which are almost all land but also include a small sea area which has many marine observations. Unconstrained weighting by area would essentially discard one of the measurements, which is undesirable.

The new developments described in this paper provide measurement and sampling uncertainty estimates for each grid box in both the land and marine data sets. This means that the land and marine data can be blended in the way that minimises the uncertainty of the blended mean. That is, by scaling according to their uncertainties, so that the more reliable value has a higher weighting than the less reliable.

$$T_{blended} = \frac{\epsilon_{sea}^2 T_{land} + \epsilon_{land}^2 T_{sea}}{\epsilon_{land}^2 + \epsilon_{sea}^2} \qquad (4)$$

where $T_{blended}$ is the blended average temperature anomaly, T_{land} and T_{sea} are the land and marine anomalies, ϵ_{land} is the measurement and sampling error of the land data, and ϵ_{sea} is the measurement and sampling error of the marine data.

The resulting blended dataset for a sample month (figure 7) shows the coherency between the land and sea data: large scale regions of positive or negative temperature anomalies that cross land-sea boundaries show up clearly. The land data weighting for all coastal and island grid boxes with both land and sea data for the same month (figure 8) shows that weighting by uncertainties generally weights the marine data more highly where the marine data are expected to be good (North Atlantic and North Pacific coasts where there are many marine observations); and similarly weights the land data more highly where it is the more reliable (in the Southern Hemisphere, notably in Indonesia and the South Pacific where marine observations are sparse). Note that the weighting is continually varying with time as the data availability changes.

As the land and marine errors are independent, this choice of weighting gives the lowest measurement and sampling error for the blended mean, giving an error in the blended mean of:

$$\epsilon_{blended} = \sqrt{\frac{\epsilon_{sea}^2 \epsilon_{land}^2}{\epsilon_{land}^2 + \epsilon_{sea}^2}}.$$
 (5)

The measurement and sampling error for the blended mean (figure 9) is the combined station and sampling error over land (figures 5 and 6). Over the oceans the error distribution is dominated by variations in the number of observations: where marine observations are plentiful (North Atlantic, North Pacific and the shipping lanes) the measurement and sampling error is very small; in poorly observed areas like the Southern Ocean, the error is much larger. The errors for marine grid boxes are much smaller than those for land grid boxes because SST is less variable in both space and time than land air temperature. This difference is discussed in more detail in section 6.2. The smaller SST errors mean that the blended temperatures for coastal and island grid boxes are dominated by the SST temperatures. This is reasonable if it is assumed that, in any grid box, the land temperature and SST values for that box are each estimates of the same blended temperature. In reality this may not be true (see section 6.4) and an area-weighted average might in some cases give a more physically consistent average temperature. However, the choice of blending weight makes very little difference to large scale averages, so the extra complexity of a blending algorithm which accounts for possible land-sea temperature anomaly differences is not justified.

5 Variance adjustment

Assigning a grid-box anomaly simply as the mean of the observational anomalies in that grid box produces a good estimate of the actual temperature anomaly. But it has the disadvantage that the variance of the grid box average is not constant in time or space; grid boxes containing many observations will have a low variance, and those with few observations a larger one. For some applications this fluctuation in variance is undesirable. Heterogeneities in the variance affect estimates of the covariance matrices which are used in EOF techniques such as Optimal Averaging. They also affect analyses of extreme monthly temperatures and of changes in temperature variability through time.

For these reasons, previous versions of Had-CRUT have included variance adjustments [Jones et al., 2001]: alternative versions of the gridded datasets with the grid-box anomalies adjusted to remove the effects of changing numbers of observations. In producing a



Figure 7: HadCRUT3 anomalies (°C) for January 1969



Figure 8: Land data blending weight for January 1969. (Greater emphasis on the land would give numbers closer to one).



Figure 9: HadCRUT3 measurement and sampling error ($^{\circ}C$) for January 1969

variance adjusted version of HadCRUT3 two refinements have been made: the error estimates for the gridded data have been used to devise a simpler adjustment method applicable to both land and marine data, and the adjustment process has been tested on synthetic data to ensure that it does not introduce biases into the data. Details of the adjustment method and the tests applied are given in appendix A. Variance adjusted versions have been produced for HadCRUT3 and the marine and land datasets from which it is formed; the adjusted datasets are named HadCRUT3v, CRUTEM3v and HadSST2v. One advantage of the new adjustment method is that it can be applied to the entire dataset, so the variance adjusted datasets now also start in 1850. The previous version of the variance adjusted dataset, HadCRUT2v, started in 1870.

Variance adjustment is successful at the individual grid-box scale: comparison with synthetic data shows that the inflation of the grid box variance caused by the limited number of observations can be removed without introducing biases into the grid-box series. At larger space scales, however, variance adjustment does introduce a small bias into the data. Whether variance adjusted or unadjusted data should be used in an analysis depends on what is to be calculated. If it is necessary that grid-box anomalies have a spatially and temporally consistent variance, then variance adjusted data should be used. Otherwise, better results may be obtained using unadjusted data. In particular, global and regional time-series should be calculated using unadjusted data.

6 Analyses of the gridded dataset

From the $5^{\circ} \times 5^{\circ}$ gridded dataset and its comprehensive set of uncertainty estimates it is possible to calculate a large variety of climatologically interesting summary statistics and their uncertainty ranges. Of this variety, global and regional temperature time series probably have the widest appeal, so some illustrative examples of these are presented here.

6.1 Hemispheric and global timeseries

If the gridded data had complete coverage of the globe or the region to be averaged, then making a time series would be a simple process of averaging the gridded data and making allowances for the relative sizes of the grid boxes and the known uncertainties in the data. However, global coverage is not complete even in the years with the most observations, and it is very incomplete early in the record. In general, global and regional area-averages will have an additional source of uncertainty caused by missing data.

To estimate the uncertainty of a largescale average owing to missing data the effect of sub-sampling on a known, complete dataset is used. The NCEP/NCAR reanalysis dataset [Kalnay et al., 1996] provides complete monthly gridded surface air temperature values for more than 50 years. To estimate the missing data uncertainty of the HadCRUT3 mean for a particular month, the reanalysis data for that calendar month in each of the 50+years is sub-sampled to have the same coverage as HadCRUT3, and the difference between the complete average and the sub-sampled average anomaly is calculated in each of the 50+cases. The 2.5% and 97.5% values forming the error range of the HadCRUT3 mean for that month in the record are then estimated from the standard deviation of the 50+ differences, assuming that the differences are normally distributed. This procedure has the advantage that it works for any region, so hemispheric and regional time-series and their uncertainties can be calculated as easily as global series. Unlike sophisticated optimal methods such as that used by [Folland et al., 2001], this process makes no attempt to minimise coverage uncertainties by using estimates of data covariances. This means that the precision of large-scale averages is less than that which could be achieved with a more sophisticated method. But the simple method has the advantage that the estimated uncertainty on the large scale average due to limited coverage is independent of all the other sources of uncertainty. So it remains straightforward to calculate both the total uncertainty on any large-scale average and all of its components (figure 10).

This approach can also be used to give coverage uncertainties on longer timescales. Annual coverage uncertainties can be made by converting both the HadCRUT3 data and the reanalysis data to annual averages and then subsampling the annual reanalysis data with the coverage of the annual HadCRUT3 data. Similarly, estimates can be made of uncertainties of coverage uncertainties for smoothed annual or decadal averages.

The grid-box sampling and measurement errors are greatly reduced when the gridded data are averaged into large-scale means, so the only other important uncertainty component of global and regional time-series is that due to the biases in the data. This is dealt with by making datasets with allowances for bias uncertainties incorporated. Generating averages from datasets with bias allowances set at the 2.5% and 97.5% levels provides a 95% error range from bias uncertainties in the resulting averages.

6.1.1 Global averages

The global temperature is calculated as the mean of the northern and southern hemisphere series (to stop the better-sampled northern hemisphere from dominating the average). Figure 10 shows the global temperature anomaly time series calculated from Had-CRUT3 with these error components. The monthly averages are dominated by short-term fluctuations in the anomalies; combining the data into annual averages produces a clearer picture, and smoothing the annual averages



Figure 10: HadCRUT3 global temperature anomaly time-series (°C) at monthly (top), annual (centre), and smoothed annual (bottom) resolutions. The solid black line is the best estimate value, the red band gives the 95% uncertainty range caused by station, sampling and measurement errors; the green band adds the 95% error range due to limited coverage; and the blue band adds the 95% error range due to bias errors.

with a 21-term binomial filter highlights the low-frequency components and shows the importance of the bias uncertainties. The bias uncertainties are zero over the normal period by definition. The dominant bias uncertainties are those due to bucket correction [Rayner et al., 2006] and thermometer exposure changes [Parker, 1994] both of which are large before the 1940s.

A notable feature of the global time series is that the uncertainties are not always larger for earlier periods than later periods. The uncertainties are smaller in the 1850s than in the 1920s, at least for the smoothed series, despite the much larger number of observations in the 1920s. The station, sampling and measurement, and coverage errors (red and green bands in figure 10) depend on the number and distribution of the observations, and these components of the error decrease steadily with time as the number of observations increases. These components also decrease with averaging to larger space and time scales, so they are smaller in the annual than the monthly series. and smaller again in the smoothed annual series. The bias uncertainties, however, do not reduce with spatial or temporal averaging, and they are largest in the early 20th century; so the smoothed annual series, where the uncertainty is dominated by the bias uncertainties, also has its largest uncertainty in this period.

The bias uncertainties are largest in the early 20th century for two reasons: Firstly the bias uncertainties in the marine data are largest then: because the uninsulated canvas buckets used in that period produced larger temperature biases than the wooden buckets used earlier (see [Rayner et al., 2006] for details). And also because the land temperature bias uncertainties (present before 1950) are larger in the tropics than the extra-tropics, so for these simple global averages, the bias uncertainty depends on the ratio of station coverage in the tropics to that in the extra-tropics, and this ratio is smaller in the 1850s than in the 1920s.

6.1.2 Hemispheric averages

Comparing the smoothed mean temperature time-series for the Northern Hemisphere and Southern Hemisphere (figure 11) shows the difference in uncertainties between the two hemispheres. The difference in the uncertainty ranges for the two series stems from the very different land/sea ratio of the two hemispheres. The Northern Hemisphere has more land, and so a larger station, sampling and measurement error (figure 9 and section 6.2), but it has more observations and so a smaller coverage uncertainty. The bias uncertainties are also larger in the Northern Hemisphere both because it has more land (especially in the tropics where the land biases are large), and because the SST bias uncertainties are largest in the Northern Hemisphere western boundary current regions where the SST can be very different from the air temperature ([Rayner et al., 2006]).

The difference between the two hemisphere series has a smaller uncertainty than either hemispheric value over much of the period shown, because the bias errors, though unknown, will be much the same in the two hemispheres and so mostly cancel in the difference. So the previously observed increase in the inter-hemispheric difference in the mid 20^{th} century (see, for example [Folland et al., 1986, Kerr, 2005]) is shown to be significantly outside the uncertainties.

6.2 Differences between land and marine data

Comparison of global average time series for land-only and marine-only data (figure 12) demonstrates both a marked agreement in the temperature trends, and a large difference in the uncertainties. There are much larger un-



Figure 11: HadCRUT3 hemisphere temperature anomaly time-series (°C); Northern (top), Southern (middle) and difference (NH-SH, bottom). The solid black line is the best estimate value, the red band gives the 95% uncertainty range caused by station, sampling and measurement errors; the green band adds the 95% error range due to limited coverage; and the blue band adds the 95% error range due to bias errors.



Figure 12: Global average of land and marine components of HadCRUT3. (°C); Land (top), Sea (middle) and difference (Land-Sea, bottom). The solid black line is the best estimate value, the red band gives the 95% uncertainty range caused by station, sampling and measurement errors; the green band adds the 95% error range due to limited coverage; and the blue band adds the 95% error range due to bias errors.

certainties in the land data because the surface air temperature over land is much more variable than the SST. SSTs change slowly and are highly correlated in space; but the land air temperature at a given station has a lower correlation with regional and global temperatures than a point SST measurement, because land air temperature (LAT) anomalies can change rapidly in both time and space. This means that one SST measurement is more informative about large scale temperature averages than one LAT measurement. This difference also shows in the hemispheric differences (figure 11): the Southern Hemisphere (SH) series has a similar uncertainty to the Northern Hemisphere (NH) series despite there being many more observations in the NH. This is because a larger fraction of the SH is sea, so fewer observations are needed.

The difference between the land and sea temperatures (figure 12, bottom) is not distinguishable from zero until about 1980. There are several possible causes for the recent it could be a real effect: increase: the land warming faster than the ocean (this is an expected response to increasing greenhouse gas concentrations in the atmosphere [Barnett et al., 2000], but it could also indicate a change in the atmospheric circulation [Parker et al., 1994]), it could indicate an uncorrected bias in one or both data sources (see section 6 of [Rayner et al., 2006]), or it could be a combination of these effects. These issues have not been pursued further here, but such studies will form part of future work on land and marine temperatures and their uncertainties.

6.3 Comparison of global time series with previous versions

Figure 13 shows time-series of the global average of the land data, the marine data, and the blended dataset with their uncertainty ranges, and compares them to the previous versions of each dataset. The additions and improvements made to the land data do not make any large differences to the global land average, except very early in the record where the uncertainties are large. The new marine data, however, do produce some sizable changes: refinements to the climatology have produced an offset, and new data have produced some other secular changes in the series.

The differences between the old and new marine data series are sometimes outside the error range of the new series. Most of the difference is a constant offset due to changes to the climatology, and uncertainties in the climatology are not part of the error model for the marine data. (In the land data climatologies are estimated for each station, and as the mix of stations in any one grid box changes with time so does the climatology. So uncertainties in the station climatology are a component of the uncertainty in changes of gridded land temperature anomalies. But for the marine data, climatologies are specified for each grid box, and they are constant in time, so uncertainties in the marine climatology do not contribute directly to uncertainties in changes in marine temperature anomalies). But even after removing the constant offset produced by the climatology change, there are still differences between the old and new SST series that are larger than the assessed random and sampling errors. These differences suggest the presence of additional error components in the marine data. At the moment, the nature of these error components is not known for certain, but the main difference between the old and new datasets is the use of different sets of observations [Rayner et al., 2006]. It seems likely that different groups of observations may be measuring SST in different ways even in recent decades, and therefore there may be unresolved bias uncertainties in the modern data. Quantifying such effects will be a priority in future



Figure 13: New dataset versions and their 95% uncertainty ranges (in blue), compared with the previous version of each dataset (in red). The top panel shows the land data, the middle panel the marine data, and the bottom panel the combined data.

work on marine data.

6.4 Comparison with Central England Temperature

Central England Temperature series The (CET) is the longest instrumental temperature record in the world [Parker et al., 1992]. It records the temperature of a triangular portion of England bounded by London, Herefordshire and Lancashire, and provides mean daily temperature estimates back to 1772. The Had-CRUT3 and CET series do use some of the same stations, but of the 13 sites that make some contribution to CRUTEM3 in the CET region, no more than 2 also contribute to CET, and there are always also stations contributing to CET but not to CRUTEM3. So if CET corresponds closely to the HadCRUT3 value for the central England grid box, it suggests that both series are correctly describing the local temperature changes, and is not simply a consequence of shared inputs. Recently, uncertainty estimates have been derived for CET since 1878 [Parker & Horton, 2005].

The area covered by CET is less than 1 grid box in the $5^{\circ} \times 5^{\circ}$ gridded CRUTEM3 dataset. Comparing the CET data with the corresponding grid box in CRUTEM3 (figure 14) shows encouraging agreement: despite being based on largely different observations, the two series agree within their uncertainties.

Doing the same comparison using the full HadCRUT3 data (blended land and sea) gives a different picture (figure 15). The $5^{\circ} \times 5^{\circ}$ grid box covering the CET region also contains much of the Irish Sea and the English Channel; both regions where there are many SST observations. Many SST observations mean that the uncertainty on the SST monthly means is small, so the blended value is biased towards SST and has a small uncertainty. Adding the SST data has reduced the agreement with CET; and the uncertainty in the HadCRUT3 value is much smaller than the CRUTEM3 uncertainty because there are a lot of SST observations around the British coast. The uncertainty varies in time because, unlike the land data, the number of SST observations changes with time: the uncertainty increases in the early part of the series and during the two world wars are quite noticeable. This figure demonstrates that the land and sea temperature anomalies in one $5^{\circ} \times 5^{\circ}$ grid box can have sizable differences in their annual values, although the longer-term changes are very similar.

Because of these land-sea differences it will sometimes be better to use the land and sea specific data rather than the blended dataset. For example when looking at paleo data from tree-rings near coasts it is probably better to use the land dataset CRUTEM3 than the blended dataset HadCRUT3. Similarly for paleo data from coastal corals the SST dataset should be used.

7 Conclusions

A new version of the gridded historical surface temperature dataset HadCRUT3 has been produced. This dataset is a collaborative product of scientists at the Met Office Hadley Centre (who provide the marine data), and at the Climatic Research Unit at the University of East Anglia (who provide the land-surface data). The new dataset benefits from the improvements to the marine data described in [Rayner et al., 2006] as well as the improvements to the land data described in this paper. But the principal advance over previous versions of the dataset [Jones et al., 2001, Jones & Moberg, 2003] is in the provision of a comprehensive set of uncertainties to accompany the gridded temperature anomalies.

As well as variance adjustments (adjustments to the data to allow for the



Figure 14: CRUTEM3 (for $50-55^{\circ}N$, $0-5^{\circ}W$) comparison with CET (Error ranges are 95%).



Figure 15: HadCRUT3 (for $50-55^{\circ}N$, $0-5^{\circ}W$) comparison with CET (Error ranges are 95%).

changing numbers of observations), fields of measurement and sampling, and bias uncertainty have been produced. All the gridded datasets, and some time-series derived from them, are available from the websites http://www.hadobs.org and http://www.cru.uea.ac.uk.

The gridded datasets start in 1850 because there are too few observations available from before this date to make a useful gridded field. Many marine observations from the first half of the 19^{th} century are known to exist in log books kept in the British Museum and the U.K. National Archive, but these observations have never been digitised. If these observations were available, it is likely that the gridded datasets, and so information on surface climate change and variability, could be extended by several decades.

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A Variance adjustment method

The relationship between the variance in a grid box and the variance of individual station observations is given by [Jones et al., 1997]

$$\sigma_n^2 = \frac{\sigma_i^2 (1 + (n-1)\bar{r})}{n},$$
 (6)

where σ_n^2 is the variance of the grid-box average, σ_i^2 is the mean variance of the individual station time series that contribute to that gridbox average and \bar{r} is the average correlation of stations within the grid box. Two interesting variables can be derived from this. The first is the true grid-box variance, $\sigma_{n=\infty}^2$. That is the variance the grid box average would have if it contained an infinite number of observations

$$\sigma_{n=\infty}^2 = \bar{\sigma_i^2} \bar{r}.$$
 (7)

The second is the sampling error, m_s^2 , equal to the difference between equations 6 and 7

$$m_s^2 = \frac{\bar{\sigma_i^2}(1-\bar{r})}{n}.$$
 (8)

Equation 6 assumes that the time-series of the grid-box anomaly is stationary. In fact, the average temperature in an area defined by a grid box exhibits natural variability on a variety of time scales: a long-term trend (perhaps due to global warming), inter-decadal variability (perhaps due to modes like ENSO) and higher-frequency natural variability. To ensure that the series is stationary, the anomalies in individual grid boxes were detrended using a six-year running average centred on the month of interest.

The detrended anomalies were then multiplied by an adjustment factor,

$$k = \sqrt{\frac{\sigma_{n=\infty}^2}{m_{total}^2(n,t) + \sigma_{n=\infty}^2}} \tag{9}$$

where m_{total}^2 is the estimated random error a combination of sampling, measurement and other errors - expressed as a function of the number of observations, n, and time, t. For marine data m_{total}^2 and $\sigma_{n=\infty}^2$ were as calculated as in [Rayner et al., 2006]. For land data values for m_{total}^2 were calculated as in section 2.3 and the values of $\sigma_{n=\infty}^2$ were calculated from equation 7 using the individual station variances and the average correlations between them. After the adjustment factor was applied, the smoothed series was added back to recover the variance adjusted time series.

A.1 Test of the Method

If it is working well, variance adjustment should reduce the random noise in the temperature values introduced by having only a limited number of observations, but leave the real underlying temperature variations unchanged. This can't be tested using the actual HadCRUT3 data, as the distinction between real variations and noise is unknown. To test the method, the pseudo-proxy method of [von Storch et al., 2004] has been adapted to instrumental data. A synthetic version of HadCRUT3 has been made by adding noise to subsampled GCM temperature data; the test is then to see how well variance adjustment recovers the original GCM data from the synthetic HadCRUT3.

A.1.1 Making the synthetic dataset

A synthetic dataset was constructed using an all forcings run [Tett et al., 2006] of the HadCM3 [Gordon et al., 2000] GCM. Values of \bar{r} for land data grid boxes were calculated for the detrended model data following the procedure in [Jones et al., 1997]. In marine grid boxes, values of \bar{r} were calculated in both time and space to take into account the fact that marine observations are point measurements rather than monthly averages as in the land data. The time component was calculated by fitting an exponential to the lagged correlations of monthly anomalies in a given grid box and using the fitted correlation decay time to estimate the average correlation across the grid box. These were used to calculate estimated station variances by assuming that the variance of the model temperature anomalies in a grid box represented the variance in that grid box for an infinite number of stations, $\sigma_{n=\infty}^2$. In this instance the value of $\bar{\sigma}_i^2$ can be easily extracted from equation 7. These average station variances were then used to create a synthetic time series for each grid box that showed variance fluctuations of a kind seen in the observational data. The variance of the time series was inflated by adding random noise of variance, v^2 , calculated using

$$v^{2} = \frac{\bar{\sigma_{i}^{2}}(1-\bar{r}) + m_{m}^{2}}{n}$$
(10)

where the *n* were a realistic distribution of numbers of observations as obtained from the historical records of monthly average temperatures. m_m^2 was an estimate of the measurement error, which was assumed to be negligible over land. Three realisations of the synthetic data were created. They differed only in the random numbers used to generate the random noise which was added to the time series.

A.1.2 Comparing adjusted and true data

The synthetic data were then run through the variance adjustment algorithms and the variance of the output was compared to that of the original model data (see figure 16). Before variance adjustment the variance of an average land data grid box was overestimated by around 11% and the variance of an average marine grid box by 180%. After variance adjustment the variance of an average land data grid box was found to be underestimated by less than 2% and the variance of an average marine data grid box was underestimated by 5%. In the marine case, discrepancies from the true variance can be larger than this in individual grid boxes, although in all cases the adjusted variance is closer to the true value than the unadjusted variance.

In individual grid boxes variance adjustment typically brings the synthetic data closer to



Figure 16: Running 10 year standard deviations (°C, left axis scale) are shown for four grid boxes. The blue line shows the standard deviation of the perfect model data masked to have the same coverage as the data. The red line shows the standard deviation of the synthetic data before variance adjustment and the black line shows the standard deviation of the synthetic data after variance adjustment. The number of observations is also shown (right hand scale). The top two panels are marine grid boxes, the lower two are land grid boxes



Figure 17: (a) Annual average sea surface temperatures from a grid box in the Tropical Atlantic for the original model data (magenta) and three realisations of the synthetic data before (cyan) and after (black) variance adjustment. (b) Shows the difference between the unadjusted synthetic data and model data (cyan) and the difference between the variance adjusted synthetic data and model data (black)



Figure 18: Cumulative frequency distributions of monthly anomalies in four marine grid boxes. The magenta lines show the original model data, the cyan lines show the three realisations of the unadjusted synthetic data and the black lines show the three realisations of the variance adjusted synthetic data.



Figure 19: (a) Annual average sea surface temperatures from the whole globe for the original model data (magenta) and three realisations of the synthetic data before (cyan) and after (black) variance adjustment. (b) Shows the difference between the unadjusted synthetic data and model data (cyan) and the difference between the variance adjusted synthetic data and model data (black)

the true value (see for example Figure 17), especially at times when such adjustments are large. This is notable, for example, during the second world war or early in the record. The frequencies of individual grid-box monthly averages are also typically improved (see for example Figure 18) with extreme outliers due to noise being effectively adjusted. This means that it is possible to make more meaningful analyses of the occurrences of true extremes using the variance adjusted data. However, when these individual variance-adjusted gridbox values are averaged over large regions (Figure 19), the opposite is true. Whereas the random errors of individual grid boxes tend to cancel out when averaged, the cumulative effect of the hundreds of slight, but correlated, variance adjustments is to reduce the variance of the regional average.

Some degradation of the true temperature signal is inevitable, as no filter can perfectly separate out the measurement and sampling error component of the temperature signal, and the reduction applied to the noise component will then be applied to some of the signal as well. Despite this, the variance adjustment process is very successful at the grid-box scale.

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